

Appendix A: Risk Evaluation Framework - Probability


Factor	Score	Threats - Description	Indicators
Extremely Likely	5	Over 90% chance of occurrence	Circumstance that could give rise to the occurrence frequently encountered (at least monthly)
Very Likely	4	70-90% chance of occurrence	Likely to happen at some point within the next 12 months. Circumstances likely to give rise to the occurrence encountered several times a year
More Likely	3	45-70% chance of occurrence	Likely to happen at some point within the next 2 years. Circumstances likely to give rise to the occurrence encountered a few times a year
Less Likely	2	20-45% of occurrence	Only likely to happen within the next 2-5 years. Circumstances giving rise to the risk occasionally encountered
Unlikely	1	Up to 20% chance of occurrence	Only likely to happen once every 5 or more years


Appendix A: Risk Evaluation Framework – Nature & Impact

Factor	Score	Effect on Service	Embarrassment /Reputation	Health & Safety	Personal Privacy Infringement	Financial
Extreme	5	Major service disruption, across several important areas for a protracted period	Adverse and persistent national media coverage. Adverse Central Government response. Officers or Members forced to resign	Death of a member of staff or several people. Prosecution under Corporate Manslaughter and Corporate Homicide Act.2007.	All personal details compromised or revealed	over £150k
High	4	Significant service disruption of an important service area for a period of up to 2 weeks	Some adverse national media interest and/or adverse local publicity of a major and persistent nature	Serious injury sustained by one or more individuals. Prohibition notice served by HSE that closes a premises	Many individual personal details compromised / revealed	between £35k and £150k.
Medium	3	Moderate effect on an important service area for a short period (a few days)	Adverse local publicity / local opinion aware	Injury, causing loss of working time to an individual. Investigation by HSE leads to serving an improvement notice or threat of prosecution	Some individual personal details compromised/ revealed	between £16k and £35k.
Low	2	Minor service disruption in an important area of service for a brief period (hours)	Contained within a department or service area. Complaint from an individual/small group of arguable merit. No press interest	Minor injury or discomfort to an individual. Failure to report Notifiable incident to the HSE	Isolated individual personal details compromised/ revealed	between £5k and £16k
Negligible	1	Minor service disruption in a non critical area of service for a brief period (hours)	Contained within a department or service area. No external complaints or press interest	No injuries	Isolated loss of non personal data	up to £5k

Appendix B

BFRS Probability Impact Grid (PIG)

		<div style="text-align: center;">  Increasing Likelihood </div>					
		1	2	3	4	5	
		Unlikely	Less Likely	More Likely	Very Likely	Extremely Likely	
Increasing Impact	1	Negligible	1	2	3	4	5
	2	Low	2	4	6	8	10
	3	Medium	3	6	9	12	15
	4	High	4	8	12	16	20
	5	Extreme	5	10	15	20	25

 Risk Tolerance Line

Appendix C: Risk Register Template

Risk Description	Resp.	Consequences if Untreated Risk Occurs	Risk Score (Former) / New			Risk Level H/M/L	Current Treatment	R A G	Comments / Further Treatment Proposed
			P	I	Σ				
	Accountable Director / Head of Service								